

08/720572

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JC962 U.S. PTO  
12/20/00

## CHAPTER II

### TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US)

(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

<u>PCT/GB99/01997</u>	<u>25 June 1999 (25.06.99)</u>	<u>27 June 1998 (27.06.98)</u>
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED

COLOUR IMAGE PICKUP APPARATUS

TITLE OF INVENTION

Graham FINLAYSON

APPLICANT(S)

**Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231**

**Attention: EO/US**

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 USC 371:
  - A. ☒ This express request to immediately begin national examination procedures (35 USC 371(f)).
  - B. ☒ The U.S. National Fee (35 USC 371(c)(1) and other fees (37 CFR 1.492) indicated in the attached fee calculation sheet.

08/720572.032201

2. ☒ A copy of the International application as filed [35 USC 371(c)(2)]:
- a. ☐ is transmitted herewith.
  - b. ☐ is not required as the application was filed with the United States Receiving Office.
  - c. ☒ has been transmitted
    - i. ☒ by the International Bureau. Date of mailing of the application (from form PCT/IB/308): 06 January 2000 (06.01.00).
    - ii. ☐ by applicant on (date) \_\_\_\_\_.
3. ☒ A translation of the International application into the English language [35 USC 371(c)(2)]:
- a. ☐ is transmitted herewith.
  - b. ☒ is not required as the application was filed in English.
  - c. ☐ was previously transmitted by applicant on (date) \_\_\_\_\_.
  - d. ☐ will follow (within 32 months of earliest priority date).
4. ☒ Amendments to the claims of the International application under PCT Article 19 [35 USC 371(c)(3)]:
- a. ☐ are transmitted herewith.
  - b. ☐ have been transmitted
    - i. ☐ by the International Bureau. Date of mailing of the amendment (from form PCT/IB/308): \_\_\_\_\_.
    - ii. ☐ by applicant on (date) \_\_\_\_\_.
  - c. ☒ have not been transmitted as
    - i. ☒ applicant chose not to make amendments under PCT Article 19. Date of mailing of Search Report (from form PCT/ISA/210): 31 January 2000 (21.01.00).
    - ii. ☐ the time limit for the submission of amendments has not yet expired. The amendments or a statement that amendments

have not been made will be transmitted  
before the expiration of the time limit under  
PCT Rule 46.1.

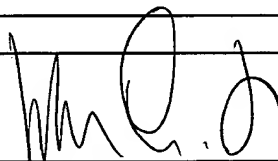
5. ☒ A translation of the amendments to the claims under PCT Article 19 [35 USC 371(c)(3)]:
- ☐ is transmitted herewith.
  - ☐ is not required as the amendments were made in the English language.
  - ☒ has not been transmitted for reasons indicated at point 4c above.
6. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409)
- ☒ is transmitted herewith.
  - ☐ is not required as the application was filed with the United States Receiving Office.
7. ☒ Annex(es) to the International Preliminary Examination Report
- ☒ is/are transmitted herewith.
  - ☐ is/are not required as the application was filed with the United States Receiving Office.
  - ☐ is/are not being transmitted as there is/are no Annex(es).
8. ☒ A translation of the annexes to the International Preliminary Examination Report
- ☐ is transmitted herewith.
  - ☒ is not required as the annexes are in the English language.
  - ☐ is not being transmitted for the reason indicated at point 7c above.
9. ☒ An oath or declaration of the inventor [35 USC 371(c)(4)] complying with 35 USC 115
- ☐ was previously submitted by applicant on (date)  
\_\_\_\_\_
  - ☐ is submitted herewith and such oath or declaration

- i. ☐ is attached to the application
- ii. ☐ identifies the application and any amendments under PCT Article 19 which were transmitted as stated in points 4a or b; and states that they were reviewed by the inventor as required by 37 CFR 1.70.
- c. ☒ will be provided in response to a Notice to File Missing Requirements.
10. ☒ An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a):
- a. ☐ is transmitted herewith.
- b. ☒ has been transmitted by the International Bureau. Date of mailing (from form PCT/IB/308): 06 January 2000 (06.01.00).
- c. ☐ is not required as the application was searched by the United States International Searching Authority.
- d. ☐ will be transmitted promptly upon request.
- e. ☐ has been submitted by applicant on (date) \_\_\_\_\_.
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98:
- a. ☐ is transmitted herewith.
- Also transmitted herewith is
- ☐ Form PTO-1449
- ☐ Copies of citations listed
- b. ☐ will be transmitted within THREE MONTHS of the date of submission of requirements under 35 USC 371(c).
- c. ☐ was previously submitted by applicant on (date) \_\_\_\_\_.
12. ☐ An assignment document is transmitted herewith for recording. A separate ☐ "RECORDATION COVER SHEET" is also attached.
- \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

13. ☒ Additional documents
- a. ☐ Copy of request (PCT/RO/101)
  - b. ☒ International Publication No. WO 00/01164
    - i. ☐ Specification, claims and drawing
    - ii. ☒ Front page only
  - c. ☒ Preliminary amendment
  - d. ☐ Verified Statement Claiming Small Entity Status
  - e. ☒ Abstract
  - f. ☐ Other
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14. ☒ The above checked items are being transmitted
- a. ☒ before 30 months from any claimed priority date.
  - b. ☐ after 30 months but before 32 months (surcharge and/or processing fee included) from any claimed priority date.

15. ☐ Certain requirements under 35 USC 371 were previously submitted by the applicant on \_\_\_\_\_, namely:
- 
- 
- 
- 
- 

  
\_\_\_\_\_  
John Smith-Hill  
Reg. No. 27,730

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12670 N.W. Barnes Road, Suite 104  
Portland, Oregon 97229

(503) 574-3100

# FEE CALCULATION SHEET

## Entry into National Phase of PCT/GB99/01997

CLAIMS FEE	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
* [x]	TOTAL CLAIMS 51	-20 =	31	x \$ 18 =	\$ 558
	INDEPENDENT CLAIMS 4	- 3 =	1	x \$ 80 =	\$ 80
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270 =	\$ 0
BASIC FEE	<input type="checkbox"/> U.S. PTO WAS INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where an International preliminary examination fee as set forth in § 1.482 has been paid on the international application to the U.S. PTO:  <input type="checkbox"/> and the international preliminary examination report states that the criteria of novelty, inventive step (non-obviousness) and industrial activity, as defined in PCT Article 33(1) to (4) have been satisfied for all the claims presented in the application entering the national state (37 CFR 1.492(a)(4)) \$100  <input type="checkbox"/> and the above requirements are not met (37 CFR 1.492(a)(1)) \$690  <input checked="" type="checkbox"/> U.S. PTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where no international preliminary examination fee as set forth in § 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in § 1.445(a)(2) to the U.S. PTO:  <input type="checkbox"/> has been paid (37 CFR 1.492(a)(2)) \$710  <input type="checkbox"/> has not been paid (37 CFR 1.492(a)(3)) \$1,000  <input checked="" type="checkbox"/> where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office (37 CFR 1.492(a)(5)) \$860				860
OTHER FEES	Surcharge of \$130 for furnishing the oath or declaration later than 30 months (but not later than 32 months) from any claimed priority date (37 CFR 1.492(e) and 37 CFR 1.495(c)).				+ 130
	Total of above Calculations				= 1,628
SMALL ENTITY	Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (note 37 CFR 1.9, 1.27, 1.28)				-
	Subtotal				1,628
	Processing fee of \$130 for furnishing the English Translation later than 30 months (but not later than 32 months) from any claimed priority date (37 CFR 1.492(f) and 37 CFR 1.495(c)).				+
	Total National Fee				\$ 1,628
	Fee for recording the enclosed assignment document \$40 (37 CFR 1.21(h)).				+
TOTAL	TOTAL FEES				\$ 1,628

\* See attached Preliminary Amendment.

[x] A check in the amount of \$1,628 to cover the national fee is enclosed.

[x] Please charge any additional basic filing fees under 37 CFR 1.492(a) which may be required by this paper, or credit any overpayment to Deposit Account No. 19-2560. This sheet is filed in duplicate.

*Penelope Stockwell*  
 Penelope Stockwell

FEE CALCULATION SHEET  
Entry into National Phase of PCT/GB99/01997

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